Novel dental dynamic depth profilometric imaging using simultaneous frequency-domain infrared photothermal radiometry and laser luminescence

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Abstract. A high-spatial-resolution dynamic experimental imaging setup, which can provide simultaneous measurements of laser-induced frequency-domain infrared photothermal radiometric and luminescence signals from defects in teeth, has been developed for the first time. The major findings of this work are (i) radiometric images are complementary to (anticorrelated with) luminescence images, as a result of the nature of the two physical signal generation processes; (ii) the radiometric amplitude exhibits much superior dynamic (signal resolution) range to luminescence in distinguishing between intact and cracked sub-surface structures in the enamel; (iii) the radiometric signal (amplitude and phase) produces dental images with much better defect localization, delineation, and resolution; (iv) radiometric images (amplitude and phase) at a fixed modulation frequency are depth profilometric, whereas luminescence images are not; and (v) luminescence frequency responses from enamel and hydroxyapatite exhibit two relaxation lifetimes, the longer of which (~ms) is common to all and is not sensitive to the defect state and overall quality of the enamel. Simultaneous radiometric and luminescence frequency scans for the purpose of depth profiling were performed and a quantitative theoretical two-lifetime rate model of dental luminescence was advanced. © 2000 Society of Photo-Optical Instrumentation Engineers. [S1083-3668(00)00601-8]

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1 Introduction

In recent years, rapidly increasing research activities have been reported centered on laser-induced luminescence as a probing technique for the detection and quantification of physical and chemical processes associated with carious dental enamel. In general, luminescence suffers from low signal levels and thus in most cases dyes are used to enhance sensitivity.1 Under laboratory conditions, the results appear satisfactory, yet the use of dyes makes the method difficult for clinical applications. Another promising approach is laser-scanned fluorescence (or luminescence). This technique can detect early carious lesions2 by producing surface images which are subsequently enhanced via standard image processing techniques.3 Nevertheless, the relatively low signal-to-noise ratio (SNR) limits the contrast and the diagnostic ability of laser fluorescence. In this work, frequency-domain infrared photothermal radiometry (FD-PTR) and modulated laser luminescence are introduced as complementary dynamic dental diagnostic tools for quantifying sound and defective (cracked) enamel. This work is intended to show the complementarity between modulated luminescence and photothermal frequency scans and imaging. As a first test for investigating the sensitivity and spatial resolution of the methodology as applied to dental tissues, cracked and sound enamel samples were chosen. The significance to dentistry lies on the conclusions regarding the potential of this technique to monitor dental lesions at the early stages of carious decay where lateral and subsurface spatial resolution on the order of the crack sizes and subsurface depths investigated in this work (100–300 μm) may be required.

FD-PTR is a growing technology for the nondestructive evaluation (NDE) of subsurface features in opaque materials.4,5 It has also shown promise in the study of excited-state dynamics in active optically transparent solid-state (laser) materials.6 The technique is based on the modulated thermal infrared (blackbody or Planck-radiation) response of a medium, resulting from radiation absorption, nonradiative energy conversion, and excited-to-ground-state relaxation, followed by temperature rise. The generated signals carry subsurface information in the form of a temperature depth integral. As a result, PTR has the ability to penetrate and yield information about an opaque medium well below the range of optical imaging. Owing to this ability, pulsed-laser PTR has been extensively used with turbid media such as tissue7,8 to study the subsurface deposition localization of laser radiation,
a task which is difficult or impossible for optical methods due to excessive scattering. Very recently, dental applications of pulsed PTR focused on the diagnostics of dentin and enamel have been reported.9,10 These preliminary studies have examined the temperature behavior of dental tissues, their tolerance to optical-to-thermal energy conversion and deposition, and their ablation threshold by high-fluence pulsed lasers. Unfortunately, the high-fluence deposition and wideband nature of pulsed photothermal detection, coupled with laser-pulse jitter and the high noise content inherent to all thermal (incoherent) signal techniques, prohibits the nondestructive application of this PTR mode to dental imaging, at least in competition with luminescence and other imaging diagnostics. FD-PTR, on the other hand, exhibits much higher SNR than its pulsed counterpart and a fixed probe depth with the use of a single modulation frequency. A convenient source of energy is the laser beam, which can be easily modulated and focused to yield a coherent localized energy source. The basic ingredients of an FD-PTR dental microscope are a source of energy, a physical scatterer (the tooth), and a detector of the radiation scattered by the tooth. For an image to be formed, either the source or the detector must be localized. Photothermal imaging generally falls into the category of scanned microscopy with a localized source. The current experimental method is based on low-fluence photothermal radiometric detection microscopy,12 which detects the emission of infrared radiation from a heated region of the sample without thermally altering it. A temperature oscillation due to modulated heating causes a variation in the thermal emissions, which is monitored using an infrared detector. The temperature modulation allows for thermal energy to reach the surface diffusively from a depth approximately equal to a thermal wavelength, \[ \lambda_{th}(f) = \frac{2\pi \sqrt{\alpha/f}}{\sqrt{\pi}}, \] where \( \alpha \) is the material thermal diffusivity \( (cm^2/s) \) and \( f \) is the laser-beam modulation frequency. Scattered light within a fraction of a thermal wavelength from the source dominate the contrast of radiometric images. In this way, when the thermal wavelength is varied, e.g., by changing the laser-beam modulation frequency, the region of the specimen that contributes to the image is also varied.

In this work, infrared radiometric and luminescence images of flat enamel surfaces from teeth with subsurface lesions (cracks) were obtained at a fixed laser-intensity modulation frequency. Furthermore, a hydroxyapatite reference sample was used for quantitative comparison with enamel-generated signals.

2 Materials and Methods

2.1 Sample Preparation

Extracted molar teeth being stored in water were selected as samples. The samples bore no visible cavities on their buccal or lingual surfaces. The teeth were disinfected with Hibitane (2% chlorhexidine), washed with water and any surface pellicle or stain was removed using a rubber cup prophylaxis with coarse grit prophylaxis paste. The roots were removed and each tooth was sectioned in half. At that point, the dentin was removed from the inner half of the section until very little remained, leaving specimens of between one and two millimeters in thickness. The samples were then stored in a humid environment with distilled water to avoid dehydration and contamination. Before irradiation, the teeth were dried and the surfaces examined to identify the regions of cracks and fissures. These regions were chosen as good candidates for near-surface and subsurface crack investigation. An extracted molar without any dentin removal and a hydroxyapatite sample was investigated as reference. The hydroxyapatite was chosen since this compound consists of approximately 90% in tooth enamel.

2.2 Experimental System

The experimental setup for performing simultaneous FD-PTR and luminescence studies is shown in Figure 1. A 488 nm wavelength cw Innova 100 Ar+ laser from coherent is modulated by an external acousto-optic modulator (AOM-Isomet 1201E-1) at frequency \( f = \omega/2\pi \), where \( \omega \) is the angular modulation frequency. The laser beam is then focused with a high performance lens (Gradium GPX085) onto a sample to a spot size of approximately 30 \( \mu m \) in reflection, at an incident power of 0.1 W. The backbody radiation from the optically excited sample is collected, collimated, and focused to a fine spot size by two axially aligned reflecting objectives (Ealing 25-0522X36 and 25-0506X15) onto a liquid-nitrogen-cooled HgCdTe detector (EG&G Judson J15D12-M204-S050U). The HgCdTe detector is a photconductive element, which undergoes a change in resistance proportional to the intensity of the incident infrared radiation. It has an active square size area of 50 \( \mu m \times 50 \mu m \) and a spectral bandwidth of 2–12 \( \mu m \). Its efficiency increases with decreasing temperature, so the detector is operated at a cryogenic temperature of 77 K. An antireflection (AR)-coated germanium window with a transmission bandwidth of 2–14 \( \mu m \) is mounted in front of the detector to block any visible radiation from the pump laser. Before being sent to the digital lock-in amplifier (Stanford Research System Model SR850), the photothermal radiometric signal is amplified by a preamplifier with a frequency bandwidth dc 1 MHz (EG&G Judson Model PA-300), especially designed for operation with the HgCdTe detector. Since both the modulated heating source

![Image](image-url)
and the detector are localized, they can be scanned across the sample. To perform PTR imaging, the sample is moved in a raster fashion. This process of data acquisition, storage, and scanning is automated. For the simultaneous measurement of luminescence and PTR signal, a germanium window was placed between the path of the two reflective objectives. The germanium window was utilized so that wavelengths up to 900 nm would be reflected and the infrared radiation would be transmitted to the second reflecting objective focused onto the infrared detector. The reflected spectrum was focused onto a photodetector of spectral bandwidth 300 nm–1.1 μm (Newport 818-BB-20). A cut-off colored glass filter (Schott OG570) was placed in front of the photodetector to suppress scattered laser light and the spectrally integrated enamel luminescence following excitation by the 488 nm laser light was monitored. In order to test if any experimental components showed fluorescence, a measurement with a mirror as a sample was performed. The result was negative (no signal).

Following optical absorption of laser photons, the experimental setup can monitor simultaneously and independently the nonradiative (optical-to-thermal) conversion via infrared photothermal radiometry, and the radiative deexcitation via luminescence emission. With this experimental setup, two types of experiments can be performed. The first is imaging, where the sample coordinates are scanned at a constant frequency. The second experiment is dynamic, performed at one location on the sample. It generates depth-dependent information by scanning the laser-beam modulation frequency (“a frequency scan”).

3 Results and Discussion

3.1 PTR and Luminescence Imaging

Simultaneous PTR and luminescence images were obtained at different modulation frequencies and in all reported images, the signal ranges between high (black) and low (light gray). A flat enamel slice with a single 15 μm wide transverse crack was backilluminated with a 25 W fluorescent light on a white plexiglas screen background and photographed with a 135 mm macro-lens, shown in Figure 2. The enamel slice is 2 mm thick and 6 mm ×10 mm in size. The first image set at f = 20 Hz is aimed to show the intrinsic features of and anticorrelation between PTR and luminescence images. The results are shown in Figure 3. Figure 3(a) is a 0.5 mm ×0.5 mm luminescence image of the flat enamel slice with a near vertical subsurface crack as marked in Figure 2. The luminescence image seems to be sensitive to the presence of the crack; in the cracked region, the luminescence

Fig. 2 Backilluminated photograph of a flat enamel slice with a 15 μm wide transverse crack.

Fig. 3 Simultaneous luminescence and FD-PTR images at f = 20 Hz. (a) Luminescence amplitude, (b) PTR amplitude, (c) PTR phase, and (d) PTR amplitude with peaks sliced off.
signal is low (light gray), whereas in the (nearly) intact region, the luminescence is relatively high (gray). Within the crack region, luminescence photon emission of several wavelengths characteristic of the enamel chromophores is essentially absent due to the material structural destruction. As a result most of the incident energy decays nonradiatively, yielding a strong photothermal radiometric signal. Conversely, in the intact part of the enamel the luminescence is significantly enhanced, while the photothermal contribution is decreased. The two images together represent the expected balance of excited-state energy release between a radiative (luminescence) and a nonradiative (thermal-decay) dynamic process. The PTR image is the result of thermal-wave generation in the tooth and thus consists of two channels: amplitude and phase, Figures 2(b)–2(d). In turbid media, these channels carry thermal transport information within approximately one thermal centroid below the surface. The thermal diffusion centroid is determined as the “center of mass” among thermal diffusion length, \( \mu = \frac{\lambda_a}{2\pi} \), optical absorption depth and optical scattering mean free path in the bulk of the material. Photothermal amplitude is generally more sensitive to surface property variations, such as the reflectance, whereas phase is largely insensitive to the optical properties of the surface and probes a larger depth range\(^{14} \) into the material. In Figure 3(b), the PTR amplitude exhibits two “hot spots” in the defective enamel which approximately correspond to the two spots seen on the backilluminated (transmission) photograph region in Figure 2. These two spots are also seen in phase, Figure 3(c), confirming that the extent of these regions of the crack is deeper into the enamel. From optical observation of the tooth after the scan, it is estimated that the penetration of the crack spots is 300 \( \mu m \). The luminescence image, Figure 3(a), however, shows the crack damage to be uniform throughout the extent of the crack. This is probably due to the influence of enhanced optical scattering at the crack leading to photon diffusion and “blurring” of the luminescence emission from dental enamel and points to the major difference between the two imaging principles: PTR images depth profiles of subsurface heat sources; luminescence does not, but is affected by image “blurring” due to photon scattering at the crack. It turns out it is also affected by photon emission delay processes which are characteristic of the material (enamel). Figure 3 further points to the other major difference between the two techniques: the superior dynamic range of the PTR amplitude. For this reason, the image in Figure 3(b) is sliced to allow the visualization of other features, the PTR intensity of, which is much lower than the peaks of the defect regions. The sliced image is seen in Figure 3(d), whose features are now comparable to the PTR phase, Figure 3(c). On the contrary, the luminescence amplitude is essentially continuous along the crack and shows neither the detailed morphology of the cracked region, nor any similarly great signal variations from the surrounding regions.

The dynamic character of modulated imaging (PTR and luminescence) is manifested by scanning imaging at different frequencies. Further, the depth profilometric character of PTR can be assessed in terms of defective enamel information obtained from different depths. These aspects are illustrated by examining a second flat tooth sample with 10–20 \( \mu m \) wide multicrack region. A photograph of the 2 mm thick enamel slice and 3 mm\( \times \)6 mm in size, taken with the same method-
The luminescence image at 80 Hz is only minimally anticorrelated with the PTR images, and this is likely due to scattering delocalization. At \( f = 500 \text{ Hz} \) (Figure 7), the PTR images show the best delineation of the very-near-surface morphologies of the three cracks. This amounts to enhanced image resolution compared to Figures 5 and 6, and is expected photothermally, as the wavelength of the thermal wave that interacts with the crack decreases and the thermal emission becomes more localized. It should be noticed from the PTR amplitude image that the very-near-surface extent of the middle crack is more substantial than when deeper regions are probed. The sequence of Figures 5–7 indicates that this crack most likely originates at the surface proper. It is interesting to note that all anticorrelation regarding the three cracks with the luminescence amplitude imaging, Figure 7(a), is lost at 500 Hz. Nevertheless, broad anticorrelation exists on the right side of the images between Figures 7(a) and 7(c). In conclusion, the sequence of Figures 5–7 shows that modulated luminescence imaging is most useful at low frequencies and it yields integrated bulk information. A major advantage of dental PTR imaging is the localization of features, largely due to the relative insensitivity of this technique to photon scattering.

### 3.2 Frequency Scans

From the luminescent and photothermal images of Figures 5–7, it is seen that both dental enamel luminescence and PTR are capable of dynamic imaging, i.e., feature structures depend strongly on modulation frequency. To study these effects, frequency scans in the range of 10 Hz–10 kHz were performed at (visually) intact and cracked regions of the dental enamel and also of the hydroxyapatite sample as a homogeneous reference. Figure 8 shows the simultaneous photothermal and luminescence frequency scans. In Figure 8(a), the PTR amplitude is shown for various spots on the tooth. The signals from good enamel, curve Nos. 1 and 2 are about two orders of magnitude smaller than curve Nos. 3 and 4 from damaged enamel (note the logarithmic scale). This very wide dynamic range of the PTR signal amplitude is consistent with the imaging part of the experiments, specifically Figure 3(b) where the peaks of the damage features had to be sliced off in order to see other lower-level features in the image. It is interesting to note that the signal amplitude from hydroxyapatite is at the same level as the cracked enamel surface. The luminescence amplitudes, Figure 8(c), exhibit the expected anticorrelation with the PTR counterparts, albeit with much lower dynamic range (note the linear scale). The full luminescence...
amplitude range between good and cracked enamel is less than a factor of 2. It is interesting that the hydroxyapatite luminescence amplitude is much less than any enamel region, although the curvature of the frequency scan is similar to the other curves. The luminescence phases, Figure 8(d), show no apparent difference between good and cracked enamel. The hydroxyapatite phase is similar to that of the enamel at low frequencies, except for a slight shift in the position of the minimum and the turnaround at high frequencies, which is not observable on the phase data from the particular enamel thin slab of Figure 8. It is important to mention that luminescence phase frequency responses much closer to that of hydroxyapatite, Figure 8(d), are observed with thick intact enamel slices, Figures 10(b) and 10(d).

3.3 Dynamic Luminescence Model

The dental luminescence signal can be explained in terms of the Fourier transform of a time-domain rate model that may involve one multilevel or two independent chromophores. In the case of a single absorber, we assume two luminescent population levels, $E_1$ and $E_2$, one of which ($E_1$) is optically excited directly by the pump laser beam at a rate $W_{P2}(t)$. A fraction $N_2$ of the population of $E_2$ decays radiatively to level $E_1$ generating luminescence photons of energy $h \nu_{21}$. The remaining fraction of $E_2$ population decays nonradiatively producing heat locally in the neighborhood of the laser beam. The population $N_1$ of the fluorophore level $E_1$ is also assumed to decay by emission of luminescence photons of energy $h \nu_{10}$ and through nonradiative channels. Therefore, for practical purposes, both levels contribute to radiative emission in arbitrary proportions in the time domain, with the consequences that these fractions of the populations of $E_1 (N_1)$ and $E_2 (N_2)$ levels can be considered arbitrary and independent of each other. This is further consistent with our experimental

![Fig. 7 Simultaneous luminescence and FD-PTR images at f=500 Hz. (a) Luminescence amplitude, (b) PTR amplitude, and (c) PTR phase.](image)

![Fig. 8 Simultaneous luminescence and FD-PTR frequency responses from visually intact dental enamel, defective (cracked) dental enamel, and hydroxyapatite. (a) Luminescence amplitude scan, (b) luminescence phase scan, (c) PTR amplitude scan, and (d) PTR phase scan.](image)
results from hydroxyapatite and enamel, according to which models based on full transition of the upper fluorophore state $E_2$ into the lower state $E_1$ cannot explain the dynamic luminescence frequency-modulation curves. The rate equations for the two independent photon emission densities $N_1$ and $N_2$ are

$$\frac{dN_2(t)}{dt} = W_{p2}(t)N_0(t) - \frac{N_2(t)}{\tau_2} \quad (1a)$$

and

$$\frac{dN_1(t)}{dt} = -\frac{N_1(t)}{\tau_1}, \quad (1b)$$

where $W_{p2}(t)$ is approximated by an impulse $\delta(t)$ on the time scale of our experiments. In Figure 9, $h$ is Planck’s constant, $6.63 \times 10^{-34}$ J s, and $\nu_{ij}$ is the frequency (Hz) of a photon emitted following a transition between levels (i) and (j). The photon energy is $E_{ij} = h\nu_{ij}$. From the solution of Eqs. (1a) and (1b), the total temporal photon emission density, $N_T(t)$ is

$$N_T(t) = N_1(t) + N_2(t) = N_{T1} \exp(-t/\tau_1) + N_{T2} \exp(-t/\tau_2), \quad (2)$$

where $N_{T1}$ and $N_{T2}$ are the occupation densities of level $E_1$ and $E_2$, respectively, at time $t=0$, i.e., at the onset of the luminescence emitting decay. It is assumed that (as yet unspecified) molecular or electronic radiative processes are responsible for the emission of luminescence. Accordingly, the two levels in Figure 9 can take on the meaning of molecular or electronic excited states. $\tau_1$ and $\tau_2$ are the two characteristic luminescence decay times of the system. The foregoing model with essentially independent populations $N_1$ and $N_2$ is also valid for the case of two independent fluorophores, each of which possesses at least two energy levels as shown in Figure 9 and can absorb the same-energy photon $h\nu_{20}$. Assuming luminescence decay lifetimes $\tau_1$ and $\tau_2$ for each fluorophore and independent nonradiative decay rates, the resulting luminescence population transient is given, again, by Eq. (2). The modulation-frequency dependence of the total photon emission density, $\rho(\omega)$, is given by Fourier transforming Eq. (2),

$$\rho(\omega) = N_{T1} \frac{1}{i\omega + \tau_1} + N_{T2} \frac{1}{i\omega + \tau_2}. \quad (3)$$

In Figure 10, theoretical fits of the foregoing two-level model to the amplitude and phase of the luminescence frequency scans are shown for hydroxyapatite, Figures 10(a) and 10(b); a sound premolar tooth sample without dentin removal

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**Fig. 9** Two-level fluorophore luminescence emission rate dynamic model. Solid line and dashed arrows correspond to radiative and non-radiative decay, respectively.

**Fig. 10** Theoretical fits using the theoretical model of Fig. 9 to luminescence frequency responses. (a) Hydroxyapatite signal amplitude, (b) hydroxyapatite signal phase, (c) signal amplitude from visually intact dental enamel of extracted molar, (d) signal phase corresponding to (c).
was also fitted, Figures 10(c) and 10(d). It is found that one
luminescence lifetime ($\tau_1$) is associated with the first (lowest)
minimum of the phase and that the other luminescence lifetime
($\tau_2$) is associated with the first (highest) maximum of the
phase. There is no distinction between hydroxyapatite and
good enamel regarding the value of this lifetime: $\tau_1 = 2.1$ ms.
The second lifetime is more sensitive to the particular speci-
men and is associated with a higher-frequency maximum in
phase. It is observed that the second maximum moves to
higher frequencies as the second lifetime decreases. The hy-
droxyapatite data can be fitted into a lifetime of $\tau_2 = 2.8$ $\mu$s,
whereas the enamel has a smaller lifetime of $\tau_2 = 1.4$ $\mu$s.

There have been no previous reports on the long lifetimes
observed in our experiments. Dental relaxation lifetimes
in the ns scale from carious dental enamel have been reported by
Alfano et al.\textsuperscript{15} using ultrafast laser detection of luminescence.
For the experimental results from the thin enamel sample
shown in Figure 8, the theory departs from the experimental
data at the high frequency end, and the second lifetime of the
order of $\mu$s cannot be fitted. It is clear that more work is
needed in interpreting the origin(s) of the two relaxation times
of Figures 8 and 10. With the exception of the thin enamel
sample of Figure 8, in general, the model has been able to fit
a large set of samples as it did with the hydroxyapatite and the
sound enamel samples of Figure 10.

The effect of the laser fluence on the sample luminescence
frequency response was also studied. Figure 11 shows amplitude
and phase curves for 1, 5, 50, 100, and 250 mW incident power.
There appears to be some degree of photobleaching at the
high-frequency end of the luminescence phase scan for laser powers as low as 1 mW, which saturates for powers on
the order of 50 mW. The net effect of the laser power increase
shows up as a decrease of the shorter lifetime, $\tau_2$, by a factor
of 2. There is no shift in the minimum of the luminescence
phase but there is some effect at the high-frequency end.
Above the 50 mW range, there is no effective change, and the
shorter lifetime associated with these experiments is $\tau_2 = 1.4$
$\mu$s. For 5 and 1 mW, the shorter relaxation time is 1.9 and 2.9
$\mu$s, respectively. From these measurements, we conclude that
the longer lifetime $\tau_1$ remains insensitive to the laser fluence,
with some bleaching and saturation of the faster fluorophore
exhibited at high laser fluences.

In view of this dynamic (delay-time) structure of the luminescence behavior, it can be said that the high-frequency luminescence amplitude images in Figures 5–7 contain some lifetime contrast information. The low-frequency images have no contrast related to the long lifetime $\tau_1$, because its value is the same for all intact and damaged regions of the enamel alike. The frequency dependence of the PTR curves is currently under theoretical examination.

4 Conclusions

Frequency-domain infrared photothermal radiometry (FD-
PTR) was introduced as a nondestructive, nonintrusive
method for evaluating sound and defective tooth enamel, and
was shown to be a complimentary imaging technique to luminescence. Several advantages of FD-PTR imaging were found including much superior dynamic range of the amplitude signal with regard to the defect state of dental enamel, superior feature localization and resolution, and depth profilometric capabilities. A theoretical model to explain the frequency dependence of luminescence emission was advanced and two characteristic lifetimes were measured. The longer of the two lifetimes was common in intact and cracked enamel and in hydroxyapatite and, therefore, it can be associated with the chemical and excited-state molecular or electronic structure of these mineral compounds.

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